

Annual Report for The North Country Alliance Local Development Corporation

Fiscal Year Ending: 12/31/2020

Run Date: 03/22/2021

Status: CERTIFIED

Certified Date: 03/22/2021

**Governance Information (Authority-Related)**

Question		Response	URL (if Applicable)
1.	Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	<a href="http://northcountryalliance.org/finance.php">http://northcountryalliance.org/finance.php</a>
2.	As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	<a href="http://northcountryalliance.org/finance.php">http://northcountryalliance.org/finance.php</a>
3.	Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4.	Does the independent auditor provide non-audit services to the Authority?	No	N/A
5.	Does the Authority have an organization chart?	Yes	<a href="http://northcountryalliance.org/policies.php">http://northcountryalliance.org/policies.php</a>
6.	Are any Authority staff also employed by another government agency?	No	
7.	Has the Authority posted their mission statement to their website?	Yes	<a href="http://northcountryalliance.org/vision.php">http://northcountryalliance.org/vision.php</a>
8.	Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9.	Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		<a href="http://northcountryalliance.org/finance.php">http://northcountryalliance.org/finance.php</a>

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**Governance Information (Board-Related)**

Question	Response	URL (If Applicable)
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established a Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://www.northcountryalliance.org/board.php">http://www.northcountryalliance.org/board.php</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="https://www.citec.org/northcountryalliance.org/meetings.php">https://www.citec.org/northcountryalliance.org/meetings.php</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="https://www.citec.org/northcountryalliance.org/policies.php">https://www.citec.org/northcountryalliance.org/policies.php</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="https://www.citec.org/northcountryalliance.org/policies.php">https://www.citec.org/northcountryalliance.org/policies.php</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	No	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	<a href="https://www.citec.org/northcountryalliance.org/policies.php">https://www.citec.org/northcountryalliance.org/policies.php</a>

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**Board of Directors Listing**

<b>Name</b>	Calabrese, Carol	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	No	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	6/21/2017	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/17/2023	<b>Complied with Training Requirement of Section 2824?</b>	Yes
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	No
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	No
<b>Designee Name</b>		<b>Ex-Officio</b>	

<b>Name</b>	Dixon, Benjamin	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	No	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	6/21/2017	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/20/2021	<b>Complied with Training Requirement of Section 2824?</b>	Yes
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	No
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	No
<b>Designee Name</b>		<b>Ex-Officio</b>	

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<b>Name</b>	Evans, Jeremy	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	No	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	6/21/2017	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/20/2021	<b>Complied with Training Requirement of Section 2824?</b>	Yes
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	No
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	No
<b>Designee Name</b>		<b>Ex-Officio</b>	

<b>Name</b>	Fish, Kate	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	No	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	6/19/2019	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/01/2022	<b>Complied with Training Requirement of Section 2824?</b>	No
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	Yes
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	No
<b>Designee Name</b>		<b>Ex-Officio</b>	

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<b>Name</b>	Gladwin, Brian	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	No	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	6/21/2017	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/17/2023	<b>Complied with Training Requirement of Section 2824?</b>	No
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	No
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	No
<b>Designee Name</b>		<b>Ex-Officio</b>	

<b>Name</b>	Kelly, Patrick	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	No	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	6/19/2019	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/01/2022	<b>Complied with Training Requirement of Section 2824?</b>	Yes
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	No
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	No
<b>Designee Name</b>		<b>Ex-Officio</b>	

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<b>Name</b>	McFarlin, Renee	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	No	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	12/19/2018	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/20/2021	<b>Complied with Training Requirement of Section 2824?</b>	Yes
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	No
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	No
<b>Designee Name</b>		<b>Ex-Officio</b>	

<b>Name</b>	Philippe, Franz	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	No	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	6/21/2017	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/20/2021	<b>Complied with Training Requirement of Section 2824?</b>	Yes
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	No
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	No
<b>Designee Name</b>		<b>Ex-Officio</b>	

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<b>Name</b>	Remington, Marijean	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	Yes	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>	Elected by Board	<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	6/17/2015	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/20/2021	<b>Complied with Training Requirement of Section 2824?</b>	Yes
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	No
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	No
<b>Designee Name</b>		<b>Ex-Officio</b>	

<b>Name</b>	Russo, Joseph	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	No	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	6/19/2019	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/01/2022	<b>Complied with Training Requirement of Section 2824?</b>	Yes
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	No
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	No
<b>Designee Name</b>		<b>Ex-Officio</b>	

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<b>Name</b>	Vacant	<b>Nominated By</b>	Other
<b>Chair of the Board</b>		<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	
<b>Term Start Date</b>		<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	
<b>Term Expiration Date</b>		<b>Complied with Training Requirement of Section 2824?</b>	
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	
<b>Designee Name</b>		<b>Ex-Officio</b>	

<b>Name</b>	Vacant	<b>Nominated By</b>	Other
<b>Chair of the Board</b>		<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	
<b>Term Start Date</b>		<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	
<b>Term Expiration Date</b>		<b>Complied with Training Requirement of Section 2824?</b>	
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	
<b>Designee Name</b>		<b>Ex-Officio</b>	



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<b>Name</b>	Vacant	<b>Nominated By</b>	Other
<b>Chair of the Board</b>		<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	
<b>Term Start Date</b>		<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	
<b>Term Expiration Date</b>		<b>Complied with Training Requirement of Section 2824?</b>	
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	
<b>Designee Name</b>		<b>Ex-Officio</b>	

<b>Name</b>	Virkler, Eric	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	No	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	6/19/2019	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/01/2022	<b>Complied with Training Requirement of Section 2824?</b>	No
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	No
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	Yes
<b>Designee Name</b>		<b>Ex-Officio</b>	

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<b>Name</b>	Wadsworth, Donna	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	No	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	6/21/2017	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/17/2023	<b>Complied with Training Requirement of Section 2824?</b>	Yes
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	No
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	No
<b>Designee Name</b>		<b>Ex-Officio</b>	

<b>Name</b>	Wilt, Christy	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	No	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	9/22/2016	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/20/2021	<b>Complied with Training Requirement of Section 2824?</b>	Yes
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	No
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	Yes
<b>Designee Name</b>		<b>Ex-Officio</b>	

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<b>Name</b>	Zembiec, David	<b>Nominated By</b>	Other
<b>Chair of the Board</b>	No	<b>Appointed By</b>	Other
<b>If yes, Chair Designated by</b>		<b>Confirmed by Senate?</b>	N/A
<b>Term Start Date</b>	6/21/2017	<b>Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?</b>	No
<b>Term Expiration Date</b>	06/17/2023	<b>Complied with Training Requirement of Section 2824?</b>	Yes
<b>Title</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?</b>	No
<b>Has the Board Member Appointed a Designee?</b>		<b>Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?</b>	No
<b>Designee Name</b>		<b>Ex-Officio</b>	

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**Staff Listing**

Name	Title	Group	Department/ Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensati on/Allowanc es/Adjustme nts	Total Compensati on paid by Authority	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local governme nt
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This Authority has indicated that it has no staff during the reporting period.

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**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for former staff or individuals affiliated With the Authority after those individuals left the Authority?	No
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**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of these Benefits	Other
Calabrese, Carol	Board of Directors												X	
Dixon, Benjamin	Board of Directors												X	
Evans, Jeremy	Board of Directors												X	
Fish, Kate	Board of Directors												X	
Gladwin, Brian	Board of Directors												X	
Kelly, Patrick	Board of Directors												X	
McFarlin, Renee	Board of Directors												X	
Philippe, Franz	Board of Directors												X	
Remington, Marijean	Board of Directors												X	
Russo, Joseph	Board of Directors												X	
Vacant	Board of Directors												X	
Vacant	Board of Directors												X	
Vacant	Board of Directors												X	
Virkler, Eric	Board of Directors												X	
Wadsworth,	Board of												X	

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Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of these Benefits	Other
Donna	Directors													
Wilt, Christy	Board of Directors												X	
Zembiec, David	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of these Benefits	Other
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**Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct?	Yes
Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS?	No

Name of Subsidiary/Component Unit	Status
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**Request Subsidiary/Component Unit Change**

Name of Subsidiary/Component Unit	Status	Requested Changes
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**Request Add Subsidiaries/Component Units**

Name of Subsidiary/Component Unit	Establishment Date	Purpose of Subsidiary/Component Unit
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**Request Delete Subsidiaries/Component Units**

Name of Subsidiary/Component Unit	Termination Date	Reason for Termination	Proof of Termination Document Name
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**Summary Financial Information**
**SUMMARY STATEMENT OF NET ASSETS**

			Amount
<b>Assets</b>			
<b>Current Assets</b>			
	Cash and cash equivalents		\$37,627.00
	Investments		\$0.00
	Receivables, net		\$586,147.00
	Other assets		\$246,454.00
	<b>Total Current Assets</b>		<b>\$870,228.00</b>
<b>Noncurrent Assets</b>			
	Restricted cash and investments		\$1,026,364.00
	Long-term receivables, net		\$2,092,998.00
	Other assets		(\$223,350.00)
	<b>Capital Assets</b>		
		Land and other nondepreciable property	\$0.00
		Buildings and equipment	\$0.00
		Infrastructure	\$0.00
		Accumulated depreciation	\$0.00
		Net Capital Assets	\$0.00
	Total Noncurrent Assets		\$2,896,012.00
	<b>Total Assets</b>		<b>\$3,766,240.00</b>
<b>Liabilities</b>			
<b>Current Liabilities</b>			
	Accounts payable		\$0.00
	Pension contribution payable		\$0.00
	Other post-employment benefits		\$0.00
	Accrued liabilities		\$8,750.00
	Deferred revenues		\$0.00
	Bonds and notes payable		\$0.00
	Other long-term obligations due within one year		\$150,716.00
	Total Current Liabilities		\$159,466.00
<b>Noncurrent Liabilities</b>			



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	Pension contribution payable		\$0.00
	Other post-employment benefits		\$0.00
	Bonds and notes payable		\$0.00
	Long Term Leases		\$0.00
	Other long-term obligations		\$2,478,735.00
	Total Noncurrent Liabilities		\$2,478,735.00
<b>Total Liabilities</b>			\$2,638,201.00
<b>Net Asset (Deficit)</b>			
<b>Net Assets</b>			
	Invested in capital assets, net of related debt		\$0.00
	Restricted		\$635,130.00
	Unrestricted		\$492,909.00
	Total Net Assets		\$1,128,039.00

**SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS**

			Amount
<b>Operating Revenues</b>			
	Charges for services		\$6,337.00
	Rental & financing income		\$97,446.00
	Other operating revenues		\$11,750.00
	Total Operating Revenue		\$115,533.00
<b>Operating Expenses</b>			
	Salaries and wages		\$0.00
	Other employee benefits		\$0.00
	Professional services contracts		\$71,689.00
	Supplies and materials		\$1,075.00
	Depreciation & amortization		\$0.00
	Other operating expenses		\$1,097.00
	Total Operating Expenses		\$73,861.00
<b>Operating Income (Loss)</b>			\$41,672.00
<b>Nonoperating Revenues</b>			
	Investment earnings		\$1,212.00
	State subsidies/grants		\$0.00
	Federal subsidies/grants		\$0.00
	Municipal subsidies/grants		\$0.00
	Public authority subsidies		\$0.00

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	Other nonoperating revenues		\$0.00
	<b>Total Nonoperating Revenue</b>		\$1,212.00
<b>Nonoperating Expenses</b>			
	Interest and other financing charges		\$24,234.00
	Subsidies to other public authorities		\$0.00
	Grants and donations		\$0.00
	Other nonoperating expenses		\$21,350.00
	<b>Total Nonoperating Expenses</b>		\$45,584.00
	Income (Loss) Before Contributions		(\$2,700.00)
<b>Capital Contributions</b>			\$0.00
<b>Change in net assets</b>			(\$2,700.00)
<b>Net assets (deficit) beginning of year</b>			\$1,130,739.00
<b>Other net assets changes</b>			\$0.00
<b>Net assets (deficit) at end of year</b>			\$1,128,039.00

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**Current Debt**

Question		Response
1.	Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2.	If yes, has the Authority issued any debt during the reporting period?	No

**New Debt Issuances**

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**Schedule of Authority Debt**

Type of Debt			Statutory Authorization(\$)	Outstanding Start of Fiscal Year(\$)	New Debt Issuances(\$)	Debt Retired (\$)	Outstanding End of Fiscal Year(\$)
State Obligation	State Guaranteed						
State Obligation	State Supported						
State Obligation	State Contingent Obligation						
State Obligation	State Moral Obligation						
Other State-Funded	Other State-Funded						
Authority Debt - General Obligation	Authority Debt - General Obligation		0.00	3,278,674.18	0.00	649,223.70	2,629,450.48
Authority Debt - Revenue	Authority Debt - Revenue						
Authority Debt - Other	Authority Debt - Other						
Conduit		Conduit Debt					
Conduit		Conduit Debt - Pilot Increment Financing					
<b>TOTALS</b>			0.00	3,278,674.18	0.00	649,223.70	2,629,450.48

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**Real Property Acquisition/Disposal List**

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

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**Personal Property**

This Authority has indicated that it had no personal property disposals during the reporting period.

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**Property Documents**

Question		Response	URL (If Applicable)
1.	In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://www.northcountryalliance.org/finance.php">http://www.northcountryalliance.org/finance.php</a>
2.	Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://www.northcountryalliance.org/policies.php">http://www.northcountryalliance.org/policies.php</a>
3.	In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	N/A

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**Grant Information**

This Authority has indicated that it did not award any grants during the reporting period.



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**Loan Information**

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$10,000.00
<b>Name of Loan Recipient</b>	Alisha Mayville	<b>Date Loan Awarded</b>	5/14/2020
<b>Address Line1</b>	458 Country Route 8	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	BRUSHTON	<b>Amount of Loan Principal Repaid to Date</b>	\$0.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12916	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$10,000.00
<b>Name of Loan Recipient</b>	Amurope Development Corporation	<b>Date Loan Awarded</b>	4/9/2020
<b>Address Line1</b>	71 Anthony Street	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	ALEXANDRIA BAY	<b>Amount of Loan Principal Repaid to Date</b>	\$0.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13607	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$10,000.00
<b>Name of Loan Recipient</b>	Angel Rock Cottages, Inc.	<b>Date Loan Awarded</b>	5/14/2020
<b>Address Line1</b>	34311 NYS Route 12E	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	CAPE VINCENT	<b>Amount of Loan Principal Repaid to Date</b>	\$10,000.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13618	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	Yes

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$75,000.00
<b>Name of Loan Recipient</b>	Asept Pak, Inc.	<b>Date Loan Awarded</b>	3/4/2009
<b>Address Line1</b>	64 West Street	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	15
<b>City</b>	MALONE	<b>Amount of Loan Principal Repaid to Date</b>	\$52,227.68
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12953	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	65
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	20
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$150,000.00
<b>Name of Loan Recipient</b>	Asept Pak, Inc.	<b>Date Loan Awarded</b>	3/4/2009
<b>Address Line1</b>	64 West Street	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	15
<b>City</b>	MALONE	<b>Amount of Loan Principal Repaid to Date</b>	\$104,455.22
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12953	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$75,000.00
<b>Name of Loan Recipient</b>	Bowe and Arrow LLC	<b>Date Loan Awarded</b>	7/25/2019
<b>Address Line1</b>	403 East Main Street	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	MALONE	<b>Amount of Loan Principal Repaid to Date</b>	\$2,219.02
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12953	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	19
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	0
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$100,000.00
<b>Name of Loan Recipient</b>	Bowe and Arrow, LLC	<b>Date Loan Awarded</b>	3/20/2019
<b>Address Line1</b>	31 Flagler Drive	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	PLATTSBURGH	<b>Amount of Loan Principal Repaid to Date</b>	\$7.80
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12901	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$112,500.00
<b>Name of Loan Recipient</b>	Branch Commercial Development	<b>Date Loan Awarded</b>	6/6/2011
<b>Address Line1</b>	31 Elm Street	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	MALONE	<b>Amount of Loan Principal Repaid to Date</b>	\$89,173.11
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12953	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	17
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	13
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$157,500.00
<b>Name of Loan Recipient</b>	Chamberlain LLC	<b>Date Loan Awarded</b>	4/29/2019
<b>Address Line1</b>	25177 NYS Route 3	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	15
<b>City</b>	WATERTOWN	<b>Amount of Loan Principal Repaid to Date</b>	\$12,266.21
<b>State</b>	NY	<b>Purpose of Loan</b>	Commercial Property Construction/Acquisition/Revitalization/Improvement
<b>Postal Code</b>	13601	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	2
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	4
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$10,000.00
<b>Name of Loan Recipient</b>	Chuijenny LLC	<b>Date Loan Awarded</b>	6/23/2020
<b>Address Line1</b>	25835 Contessa Lane	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	WATERTOWN	<b>Amount of Loan Principal Repaid to Date</b>	\$0.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13601	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$150,000.00
<b>Name of Loan Recipient</b>	Clifton Fine Health Care Group	<b>Date Loan Awarded</b>	5/12/2011
<b>Address Line1</b>	1014 Oswegatchie Trail	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	15
<b>City</b>	STAR LAKE	<b>Amount of Loan Principal Repaid to Date</b>	\$81,677.17
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13690	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$25,000.00
<b>Name of Loan Recipient</b>	Compass II, LLC	<b>Date Loan Awarded</b>	1/21/2016
<b>Address Line1</b>	114 Parker Street	<b>Interest Rate (%)</b>	3.25
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	WATERTOWN	<b>Amount of Loan Principal Repaid to Date</b>	\$22,758.22
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13601	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$25,000.00
<b>Name of Loan Recipient</b>	Compass II, LLC	<b>Date Loan Awarded</b>	1/21/2016
<b>Address Line1</b>	114 Parker Street	<b>Interest Rate (%)</b>	3.25
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	WATERTOWN	<b>Amount of Loan Principal Repaid to Date</b>	\$22,758.22
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13601	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$96,875.00
<b>Name of Loan Recipient</b>	Demers Properties, LLC	<b>Date Loan Awarded</b>	3/21/2018
<b>Address Line1</b>	5 Trade Road	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	PLATTSBURGH	<b>Amount of Loan Principal Repaid to Date</b>	\$19,188.03
<b>State</b>	NY	<b>Purpose of Loan</b>	Commercial Property Construction/Acquisition/Revitalization/Improvement
<b>Postal Code</b>	12901	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	9
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	4
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$96,875.00
<b>Name of Loan Recipient</b>	Demers Properties, LLC	<b>Date Loan Awarded</b>	3/21/2018
<b>Address Line1</b>	5 Trade Road	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	PLATTSBURGH	<b>Amount of Loan Principal Repaid to Date</b>	\$19,188.20
<b>State</b>	NY	<b>Purpose of Loan</b>	Commercial Property Construction/Acquisition/Revitalization/Improvement
<b>Postal Code</b>	12901	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$20,000.00
<b>Name of Loan Recipient</b>	Dorothee Gilmore	<b>Date Loan Awarded</b>	10/6/2016
<b>Address Line1</b>	8330 County Route 27	<b>Interest Rate (%)</b>	3.5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	LISBON	<b>Amount of Loan Principal Repaid to Date</b>	\$15,015.78
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13658	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	2
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	2
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No



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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$20,000.00
<b>Name of Loan Recipient</b>	Dorothee Gilmore	<b>Date Loan Awarded</b>	10/6/2016
<b>Address Line1</b>	8330 County Route 27	<b>Interest Rate (%)</b>	3.5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	LISBON	<b>Amount of Loan Principal Repaid to Date</b>	\$15,016.30
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13658	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$68,000.00
<b>Name of Loan Recipient</b>	Hole Brothers Holdings LLC	<b>Date Loan Awarded</b>	6/3/2011
<b>Address Line1</b>	500 Newell Street	<b>Interest Rate (%)</b>	3.5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	WATERTOWN	<b>Amount of Loan Principal Repaid to Date</b>	\$60,362.78
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13601	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	21
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	16
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$25,000.00
<b>Name of Loan Recipient</b>	Hole Brothers Holdings, LLC	<b>Date Loan Awarded</b>	4/14/2020
<b>Address Line1</b>	500 Newell Street	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	WATERTOWN	<b>Amount of Loan Principal Repaid to Date</b>	\$0.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13601	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$90,000.00
<b>Name of Loan Recipient</b>	Homes for Humanity Holdings I LLC	<b>Date Loan Awarded</b>	12/5/2019
<b>Address Line1</b>	7731 East Road	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	LOWVILLE	<b>Amount of Loan Principal Repaid to Date</b>	\$6,509.99
<b>State</b>	NY	<b>Purpose of Loan</b>	Commercial Property Construction/Acquisition/Revitalization/Improvement
<b>Postal Code</b>	13367	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	2
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	0
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$10,000.00
<b>Name of Loan Recipient</b>	JEPAL Capital Inc.	<b>Date Loan Awarded</b>	4/23/2020
<b>Address Line1</b>	5241 Sate Route 37	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	MALONE	<b>Amount of Loan Principal Repaid to Date</b>	\$0.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12953	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$112,500.00
<b>Name of Loan Recipient</b>	Jai Galub, LLC	<b>Date Loan Awarded</b>	12/27/2017
<b>Address Line1</b>	25791 NYS Route 37	<b>Interest Rate (%)</b>	4.25
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	15
<b>City</b>	WATERTOWN	<b>Amount of Loan Principal Repaid to Date</b>	\$16,657.17
<b>State</b>	NY	<b>Purpose of Loan</b>	Commercial Property Construction/Acquisition/Revitalization/Improvement
<b>Postal Code</b>	13601	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	3
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	0
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$112,500.00
<b>Name of Loan Recipient</b>	Jai Galub, LLC	<b>Date Loan Awarded</b>	12/27/2017
<b>Address Line1</b>	25791 NYS Route 37	<b>Interest Rate (%)</b>	4.25
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	15
<b>City</b>	WATERTOWN	<b>Amount of Loan Principal Repaid to Date</b>	\$16,657.53
<b>State</b>	NY	<b>Purpose of Loan</b>	Commercial Property Construction/Acquisition/Revitalization/Improvement
<b>Postal Code</b>	13601	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$10,000.00
<b>Name of Loan Recipient</b>	Jake's On The Water, LLC	<b>Date Loan Awarded</b>	4/2/2020
<b>Address Line1</b>	PO Box 1	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	HANNAWA FALLS	<b>Amount of Loan Principal Repaid to Date</b>	\$1,069.45
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13647	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$112,500.00
<b>Name of Loan Recipient</b>	Johnson Lumber Company, LLC	<b>Date Loan Awarded</b>	9/20/2017
<b>Address Line1</b>	10972 State Route 26	<b>Interest Rate (%)</b>	4.25
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	15
<b>City</b>	CARTHAGE	<b>Amount of Loan Principal Repaid to Date</b>	\$14,149.53
<b>State</b>	NY	<b>Purpose of Loan</b>	Equipment and Fixed Asset Acquisition
<b>Postal Code</b>	13619	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	5
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	8
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$112,500.00
<b>Name of Loan Recipient</b>	Johnson Lumber Company, LLC	<b>Date Loan Awarded</b>	9/20/2017
<b>Address Line1</b>	10972 State Route 26	<b>Interest Rate (%)</b>	4.25
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	15
<b>City</b>	CARTHAGE	<b>Amount of Loan Principal Repaid to Date</b>	\$14,149.53
<b>State</b>	NY	<b>Purpose of Loan</b>	Equipment and Fixed Asset Acquisition
<b>Postal Code</b>	13619	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$40,000.00
<b>Name of Loan Recipient</b>	Katkesh Holding Company, LLC	<b>Date Loan Awarded</b>	2/12/2015
<b>Address Line1</b>	459 Margaret Street	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	PLATTSBURGH	<b>Amount of Loan Principal Repaid to Date</b>	\$20,886.43
<b>State</b>	NY	<b>Purpose of Loan</b>	Commercial Property Construction/Acquisition/Revitalization/Improvement
<b>Postal Code</b>	12901	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	4
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	6
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$40,000.00
<b>Name of Loan Recipient</b>	Katkesh Holding Company, LLC	<b>Date Loan Awarded</b>	2/12/2015
<b>Address Line1</b>	459 Margaret Street	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	PLATTSBURGH	<b>Amount of Loan Principal Repaid to Date</b>	\$20,886.43
<b>State</b>	NY	<b>Purpose of Loan</b>	Commercial Property Construction/Acquisition/Revitalization/Improvement
<b>Postal Code</b>	12901	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$75,000.00
<b>Name of Loan Recipient</b>	Kingston Pharma, LLC	<b>Date Loan Awarded</b>	5/16/2018
<b>Address Line1</b>	5 County Route 42	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	MASSENA	<b>Amount of Loan Principal Repaid to Date</b>	\$23,153.90
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13662	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	30
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	16
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$30,000.00
<b>Name of Loan Recipient</b>	Long Lake Hotel Corporation	<b>Date Loan Awarded</b>	9/21/2018
<b>Address Line1</b>	1245 Main Street	<b>Interest Rate (%)</b>	5.25
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	LONG LAKE	<b>Amount of Loan Principal Repaid to Date</b>	\$4,392.14
<b>State</b>	NY	<b>Purpose of Loan</b>	Commercial Property Construction/Acquisition/Revitalization/Improvement
<b>Postal Code</b>	12847	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	6
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	11
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$30,000.00
<b>Name of Loan Recipient</b>	Long Lake Hotel Corporation	<b>Date Loan Awarded</b>	3/21/2018
<b>Address Line1</b>	1245 Main Street	<b>Interest Rate (%)</b>	5.25
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	LONG LAKE	<b>Amount of Loan Principal Repaid to Date</b>	\$4,392.13
<b>State</b>	NY	<b>Purpose of Loan</b>	Commercial Property Construction/Acquisition/Revitalization/Improvement
<b>Postal Code</b>	12847	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$70,000.00
<b>Name of Loan Recipient</b>	Mauui North Ski, Bike, and Surf Company	<b>Date Loan Awarded</b>	10/15/2013
<b>Address Line1</b>	31 Durkee Street	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	7
<b>City</b>	PLATTSBURGH	<b>Amount of Loan Principal Repaid to Date</b>	\$70,000.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12901	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	Yes



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<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$70,000.00
<b>Name of Loan Recipient</b>	Maui North Ski, Bike, and Surf Company	<b>Date Loan Awarded</b>	10/15/2013
<b>Address Line1</b>	31 Durkee Street	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	7
<b>City</b>	PLATTSBURGH	<b>Amount of Loan Principal Repaid to Date</b>	\$70,000.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12901	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	1
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	1
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	Yes

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$10,000.00
<b>Name of Loan Recipient</b>	Mazer Industries, LLC	<b>Date Loan Awarded</b>	6/10/2020
<b>Address Line1</b>	25835 Contessa Lane	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	WATERTOWN	<b>Amount of Loan Principal Repaid to Date</b>	\$0.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13601	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$112,500.00
<b>Name of Loan Recipient</b>	McKinney Gen.2, LLC	<b>Date Loan Awarded</b>	3/31/2016
<b>Address Line1</b>	26444 U.S. Route 11	<b>Interest Rate (%)</b>	3.5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	7
<b>City</b>	EVANS MILLS	<b>Amount of Loan Principal Repaid to Date</b>	\$66,369.74
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13637	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	12
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	18
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$112,500.00
<b>Name of Loan Recipient</b>	McKinney Gen.2, LLC	<b>Date Loan Awarded</b>	3/31/2016
<b>Address Line1</b>	26444 U.S. Route 11	<b>Interest Rate (%)</b>	3.5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	7
<b>City</b>	EVANS MILLS	<b>Amount of Loan Principal Repaid to Date</b>	\$66,370.32
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13637	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$88,000.00
<b>Name of Loan Recipient</b>	N. Wilson Holdings LLC	<b>Date Loan Awarded</b>	11/13/2013
<b>Address Line1</b>	795 NYS Route 3	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	PLATTSBURGH	<b>Amount of Loan Principal Repaid to Date</b>	\$56,857.59
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12901	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	8
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	9
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$150,000.00
<b>Name of Loan Recipient</b>	North Country Transitional Living Services, Inc.	<b>Date Loan Awarded</b>	2/26/2015
<b>Address Line1</b>	482 Black River Parkway	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	15
<b>City</b>	WATERTOWN	<b>Amount of Loan Principal Repaid to Date</b>	\$42,530.87
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13601	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	2
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	18
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$50,000.00
<b>Name of Loan Recipient</b>	Osceola Ski and Sport Resort LLC	<b>Date Loan Awarded</b>	11/14/2019
<b>Address Line1</b>	1573 Osceola Road	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	CAMDEN	<b>Amount of Loan Principal Repaid to Date</b>	\$5,968.32
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13316	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	1
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	2
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$37,500.00
<b>Name of Loan Recipient</b>	Our Cup of Joy Inc.	<b>Date Loan Awarded</b>	1/17/2018
<b>Address Line1</b>	288 East Broadway	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	CAPE VINCENT	<b>Amount of Loan Principal Repaid to Date</b>	\$16,340.44
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13618	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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Run Date: 03/22/2021

Status: CERTIFIED

Certified Date: 03/22/2021

<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$37,500.00
<b>Name of Loan Recipient</b>	Our Cup of Joy Inc.	<b>Date Loan Awarded</b>	1/17/2018
<b>Address Line1</b>	288 East Broadway	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	CAPE VINCENT	<b>Amount of Loan Principal Repaid to Date</b>	\$16,340.44
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13618	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	1
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	2
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$40,000.00
<b>Name of Loan Recipient</b>	Pro-Tech Restoration, Inc.	<b>Date Loan Awarded</b>	12/16/2015
<b>Address Line1</b>	128 Park Row	<b>Interest Rate (%)</b>	3.25
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	CADYVILLE	<b>Amount of Loan Principal Repaid to Date</b>	\$18,380.67
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12918	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	6
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	2
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$40,000.00
<b>Name of Loan Recipient</b>	Pro-Tech Restoration, Inc.	<b>Date Loan Awarded</b>	12/16/2015
<b>Address Line1</b>	128 Park Row	<b>Interest Rate (%)</b>	3.25
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	CADYVILLE	<b>Amount of Loan Principal Repaid to Date</b>	\$18,380.67
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12918	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$10,000.00
<b>Name of Loan Recipient</b>	Richard Kovacs and Angela Oliver d/b/a Packbasket Adventures	<b>Date Loan Awarded</b>	4/2/2020
<b>Address Line1</b>	South Shore Road	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>	PO Box 136	<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	WANAKENA	<b>Amount of Loan Principal Repaid to Date</b>	\$0.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13695	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$50,000.00
<b>Name of Loan Recipient</b>	Romalato's Delicatessen, Inc.	<b>Date Loan Awarded</b>	3/23/2010
<b>Address Line1</b>	1171 Coffeen Street	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	9
<b>City</b>	WATERTOWN	<b>Amount of Loan Principal Repaid to Date</b>	\$42,695.46
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13601	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	8
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	0
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$200,000.00
<b>Name of Loan Recipient</b>	Roth Industries, Inc.	<b>Date Loan Awarded</b>	11/18/2016
<b>Address Line1</b>	268 Bellew Avenue South	<b>Interest Rate (%)</b>	3.5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	WATERTOWN	<b>Amount of Loan Principal Repaid to Date</b>	\$44,515.93
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13601	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	6
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	23
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$112,500.00
<b>Name of Loan Recipient</b>	SBSP Real Estate, LLC	<b>Date Loan Awarded</b>	12/20/2017
<b>Address Line1</b>	528 Route 3	<b>Interest Rate (%)</b>	4.5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	15
<b>City</b>	PLATTSBURGH	<b>Amount of Loan Principal Repaid to Date</b>	\$12,442.04
<b>State</b>	NY	<b>Purpose of Loan</b>	Commercial Property Construction/Acquisition/Revitalization/Improvement
<b>Postal Code</b>	12901	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$112,500.00
<b>Name of Loan Recipient</b>	SBSP Real Estate, LLC	<b>Date Loan Awarded</b>	12/20/2017
<b>Address Line1</b>	528 Route 3	<b>Interest Rate (%)</b>	4.5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	15
<b>City</b>	PLATTSBURGH	<b>Amount of Loan Principal Repaid to Date</b>	\$12,442.04
<b>State</b>	NY	<b>Purpose of Loan</b>	Commercial Property Construction/Acquisition/Revitalization/Improvement
<b>Postal Code</b>	12901	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	7
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	0
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No



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<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$115,951.00
<b>Name of Loan Recipient</b>	Saranac Country Store	<b>Date Loan Awarded</b>	12/17/2010
<b>Address Line1</b>	3346 State Route 3	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	SARANAC	<b>Amount of Loan Principal Repaid to Date</b>	\$115,951.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12981	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	9
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	6
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	Yes

<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$45,000.00
<b>Name of Loan Recipient</b>	Sci-Tech Center of Northern New York	<b>Date Loan Awarded</b>	1/25/2008
<b>Address Line1</b>	154 Stone Street	<b>Interest Rate (%)</b>	2.5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	20
<b>City</b>	WATERTOWN	<b>Amount of Loan Principal Repaid to Date</b>	\$23,235.88
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13601	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	4
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	1
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$10,000.00
<b>Name of Loan Recipient</b>	Sharrow's Home Repair and Renovation LLC	<b>Date Loan Awarded</b>	4/9/2020
<b>Address Line1</b>	21 Doran Road	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	STAR LAKE	<b>Amount of Loan Principal Repaid to Date</b>	\$0.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13690	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$65,000.00
<b>Name of Loan Recipient</b>	Solsberry Enterprises LLC	<b>Date Loan Awarded</b>	6/19/2019
<b>Address Line1</b>	6 Elm Street	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	POTSDAM	<b>Amount of Loan Principal Repaid to Date</b>	\$5,794.86
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13676	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	23
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	15
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$43,000.00
<b>Name of Loan Recipient</b>	Swift Labs, LLC	<b>Date Loan Awarded</b>	4/5/2017
<b>Address Line1</b>	351 East Orvis Street	<b>Interest Rate (%)</b>	3.5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	5
<b>City</b>	MASSENA	<b>Amount of Loan Principal Repaid to Date</b>	\$37,562.80
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13662	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	2
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	4
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$200,000.00
<b>Name of Loan Recipient</b>	War Canoe Spirits LLC	<b>Date Loan Awarded</b>	10/8/2019
<b>Address Line1</b>	2849 NYS Route 9N	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	1
<b>City</b>	CROWN POINT	<b>Amount of Loan Principal Repaid to Date</b>	\$200,000.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12928	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	Yes

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<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$100,000.00
<b>Name of Loan Recipient</b>	War Canoe Spirits, LLC	<b>Date Loan Awarded</b>	6/4/2020
<b>Address Line1</b>	2849 NYS Route 9N	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	1
<b>City</b>	CROWN POINT	<b>Amount of Loan Principal Repaid to Date</b>	\$0.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12928	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

<b>Source of Loan Funds</b>	State	<b>Original Amount of Loan</b>	\$100,000.00
<b>Name of Loan Recipient</b>	War Canoe Spirits, LLC	<b>Date Loan Awarded</b>	6/4/2020
<b>Address Line1</b>	2849 NYS Route 9N	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	1
<b>City</b>	CROWN POINT	<b>Amount of Loan Principal Repaid to Date</b>	\$0.00
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	12928	<b>Was the loan expected to result in new jobs being created?</b>	No
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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<b>Source of Loan Funds</b>	Federal	<b>Original Amount of Loan</b>	\$50,000.00
<b>Name of Loan Recipient</b>	Zero Dock Street, LLC	<b>Date Loan Awarded</b>	9/18/2018
<b>Address Line1</b>	130 Canal Street	<b>Interest Rate (%)</b>	5
<b>Address Line2</b>		<b>Length of Loan(# of years to repay)</b>	10
<b>City</b>	CARTHAGE	<b>Amount of Loan Principal Repaid to Date</b>	\$1,623.38
<b>State</b>	NY	<b>Purpose of Loan</b>	Business Expansion/Startup
<b>Postal Code</b>	13619	<b>Was the loan expected to result in new jobs being created?</b>	Yes
<b>Plus4</b>		<b>If Yes, how many jobs were planned to be created?</b>	12
<b>Province/Region</b>		<b>If Yes, how many jobs have been created to date?</b>	13
<b>Country</b>	United States	<b>Have the terms of the loan been completed?</b>	No

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**Bond Information**

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

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**Additional Comments**